

## MEMORY TRANSMISSION REPORT

TIME : 05-14-2002 02:10PM  
 TEL NUMBER : +13106418798  
 NAME : Gates & Cooper LLP

FILE NUMBER : 593  
 DATE : 05-14 02:03PM  
 TO : 101034517037467239  
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**Gates & Cooper LLP**  
 Howard Hughes Center  
 6701 Center Drive West, Suite 1050  
 Los Angeles, California 90045

## FAX TRANSMISSION TO USPTO

TO: Commissioner for Patents  
 Attn: Examiner Andrew J. Rudy  
 Patent Examining Corps  
 Facsimile Center  
 Washington, D.C. 20231

FROM: George H. Gates  
 OUR REF.: 9008  
 TELEPHONE: (310) 642-4146

Total pages, including cover letter: 18 24

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Title of Document Transmitted:	AMENDMENT UNDER 37 C.F.R. 1.111 INCLUDING AN APPENDIX SHOWING THE CHANGES MADE
Applicant:	George R. Hood
Serial No.:	09/608,342
Filed:	June 29, 2000
Group Art Unit:	2167
Our Ref. No.:	9008

By: George H. Gates  
 Name: George H. Gates  
 Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Signature

Andrew M. Byrd

Date

5/14/02

GHC/amb

GHC 00145.004-US-01

**Gates & Cooper** *LLP*

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
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By: *GHG*  
Name: George H. Gates  
Reg. No.: 33,500

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*Adrienne M. Bland*  
Signature

5/14/02  
Date

GHG/amb

G&C 30145.394-US-01

Due Date: May 14, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George R. Hood  
 Serial No.: 09/608,342  
 Filed: June 29, 2000  
 Title: INDIRECT EXPENSE IMPLEMENTATION FOR FINANCIAL PROCESSING IN A  
 RELATIONAL DATABASE MANAGEMENT SYSTEM

Examiner: Andrew J. Rudy  
 Group Art Unit: 2167  
 Docket: 9008

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on May 14, 2002.

By: [Signature]  
 Name: George H. Gates

BOX NON-FEE AMENDMENT  
 Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.  
☒ Amendment Under 37 C.F.R. 1.111 including an Appendix showing the changes made.

CLAIMS PRESENT

Claims Remaining:	Highest Number Previously Paid For:	Number Extra	Rate	Fee
Total Claims				
65	65	0	x \$18.00	= \$0.00
Independent Claims				
3	3	0	x \$84.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$0.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

Customer Number 22462  
**GATES & COOPER LLP**  
 Howard Hughes Center  
 6701 Center Drive West, Suite 1050  
 Los Angeles, CA 90045  
 (310) 641-8797

By: [Signature]  
 Name: George H. Gates  
 Reg. No.: 33,500  
 GHG/amb

Due Date: May 14, 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	George R. Hood	Examiner:	Andrew J. Rudy
Serial No.:	09/608,342	Group Art Unit:	2167
Filed:	June 29, 2000	Docket:	9008
Title:	INDIRECT EXPENSE IMPLEMENTATION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM		

## CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the United States Patent and Trademark Office on May 14, 2002.

By: [Signature]  
Name: George H. Gates

AMENDMENT UNDER 37 C.F.R. §1.111

## BOX NON-FEE AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Office Action dated February 14, 2002, please amend the above-identified application as follows. Please note that, in compliance with the rules under 37 C.F.R. § 1.121, the amendments to the specification are presented in "clean" form with marked-up versions provided in the Appendix. The pending claims are reproduced here for the Examiner's convenience.

IN THE SPECIFICATION

Please amend the specification as follows: